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DT04 Rec'd PCT/PTO PATENT OCT 2004

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of Hubert BAUMGART Vince COOK Sandra HASSE	Practitioner's Docket No. PAT-00293
Serial No.: This application is a National Phase application of PCT/EP02/04477 filed 24 April 2002.	Group Art Unit: Not Assigned
Filed:	Examiner: Not Assigned
For: Thermally Curable, Thixotropic Mixtures Containing Carbamate and/or Allophanate Groups	<u>EXPRESS MAIL CERTIFICATE</u> I hereby certify that the attached correspondence is being deposited with the United States Postal Service in an envelope as "Express Mail Post Office to Addressee" Mailing Label No. ER 193 007 063 US addressed to BOX PCT, Commissioner for Patents, PO BOX 1450, Alexandria, VA 22313-1450 on the date shown below. October 12, 2004 _____ Date  Marjorie Ellis

BOX PCT
Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

<input checked="" type="checkbox"/> International Preliminary Examination Report
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Translation

PATENT COOPERATION TREATY

PCT

INTERNATIONAL PRELIMINARY EXAMINATION REPORT

(PCT Article 36 and Rule 70)

Applicant's or agent's file reference PAT00293PCT	FOR FURTHER ACTION See Notification of Transmittal of International Preliminary Examination Report (Form PCT/IPEA/416)	
International application No. PCT/EP02/04477	International filing date (day/month/year) 24 April 2002 (24.04.02)	Priority date (day/month/year)
International Patent Classification (IPC) or national classification and IPC C09D 201/02, 133/06, 5/04		
Applicant BASF COATINGS AG		

1. This international preliminary examination report has been prepared by this International Preliminary Examining Authority and is transmitted to the applicant according to Article 36.

2. This REPORT consists of a total of 2 sheets, including this cover sheet.

☐ This report is also accompanied by ANNEXES, i.e., sheets of the description, claims and/or drawings which have been amended and are the basis for this report and/or sheets containing rectifications made before this Authority (see Rule 70.16 and Section 607 of the Administrative Instructions under the PCT).

These annexes consist of a total of _____ sheets.

3. This report contains indications relating to the following items:

I ☒ Basis of the report

II ☐ Priority

III ☐ Non-establishment of opinion with regard to novelty, inventive step and industrial applicability

IV ☐ Lack of unity of invention

V ☒ Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement

VI ☐ Certain documents cited

VII ☐ Certain defects in the international application

VIII ☐ Certain observations on the international application

Date of submission of the demand 29 October 2003 (29.10.03)	Date of completion of this report 11 November 2003 (11.11.2003)
Name and mailing address of the IPEA/EP	Authorized officer
Facsimile No.	Telephone No.

**INTERNATIONAL PRELIMINARY
EXAMINATION REPORT**

International application No. PCT/EP02/04477

I. Basis of the report


The basis of international preliminary examination report is the application as originally filed.

V. Reasoned statement under Rule 66.2(a)(ii) with regard to novelty, inventive step or industrial applicability

In light of the documents cited in the international search report, it is considered that the invention as defined in the claims meets the criteria mentioned in Article 33(1) PCT, i.e. it appears to be novel and to involve an inventive step.

PATENT

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X	Information Disclosure Statement
X	From PTO-1449
X	Cited References
X	English Text International Search Report
X	Germany Text International Search Report

PATENT COOPERATION TREATY
PCT
INTERNATIONAL SEARCH REPORT
(PCT Article 18 and Rules 43 and 44)

Applicant's or agent's file reference PAT00293PCT	FOR FURTHER ACTION	see Notification of Transmittal of International Search Report (Form PCT/ISA/220) as well as, where application, item 5 below.
International application No.	International filing date (day/month/year)	(Earliest) Priority Date (day/month/year)
PCT/EP 02/04477	24/04/2002	24/04/2002
Applicant		
BASE COATINGS AG		

This international search report has been prepared by this International Searching Authority and is transmitted to the applicant according to Article 18. A copy is being transmitted to the International Bureau.

This international search report consists of a total of 04 sheets.

☒ It is also accompanied by a copy of each prior art document cited in this report.

1. ☐ Certain claims were found unsearchable (See Box I)
2. ☐ Unity of invention is lacking (See Box II)
3. ☐ The international application contains disclosure of a nucleotide and/or amino acid sequence listing and the international search was carried out on the basis of the sequence listing
 - ☐ filed with the international application.
 - ☐ furnished by the applicant separately from the international application.
 - ☐ but not accompanied by a statement to the effect that it did not include matter going beyond the disclosure in the international application as filed
 - ☐ transcribed by this Authority.
4. With regard to the title,
 - ☒ the text is approved as submitted by the applicant
 - ☐ the text has been established by this Authority to read as follows:
5. With regard to the abstract,
 - ☒ the text is approved as submitted by the application
 - ☐ the text has been established, according to Rule 38.2(b), by this Authority as it appears in Box III. The applicant may, within one month from the date of mailing of this international search report, submit comments to this Authority.
6. Figure No.
 - ☐ as suggested by the applicant ☐ None of the figures
 - ☐ because the applicant failed to suggest a figure.
 - ☐ because this figure better characterizes the invention.